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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: May  
Appl No.: Not Yet Assigned Group Art Unit: 2765  
Filed: Concurrently Herewith Examiner: J. Romain  
For: SYSTEMS FOR SWITCH AUCTIONS UTILIZING  
RISK PORTFOLIOS OF A PLURALITY OF TRADERS

October 5, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a list of documents on form PTO-1449. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

All items are attached except those that were supplied in parent Application No. 09/169,879 filed October 12, 1998. Since the benefit of this application was claimed under 35 U.S.C. 120, no copies need to be furnished in accordance with 37 C.F.R. 1.98(d); however, copies will be furnished on request.

Respectfully submitted,

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner of Patents, Washington, D.C. 20231.

  
Renée J. Mathis

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